

Secret-Sharing LDPC Codes for the BPSK-constrained Gaussian Wiretap Channel

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Abstract—The problem of secret sharing over the Gaussian wiretap channel is considered. A source and a destination intend to share secret information over a Gaussian channel in the presence of a wiretapper who observes the transmission through another Gaussian channel. Two constraints are imposed on the source-to-destination channel; namely, the source can transmit only binary phase-shift keyed (BPSK) symbols, and symbol-by-symbol hard-decision quantization is applied to the received symbols of the destination. An error-free public channel is also available for the source and destination to exchange messages in order to help the secret-sharing process. The wiretapper can perfectly observe all messages in the public channel. It is shown that a secret-sharing scheme that employs a random ensemble of regular low-density parity-check (LDPC) codes can achieve the key capacity of the BPSK-constrained Gaussian wiretap channel asymptotically with increasing block length. To accommodate practical constraints of finite block length and limited decoding complexity, fixed irregular LDPC codes are also designed to replace the regular LDPC code ensemble in the proposed secret-sharing scheme.

I. INTRODUCTION

Physical-layer security schemes exploit channel characteristics, such as noise and fading, to allow a group of nodes to share information in such a way that other unintended receivers (called eavesdroppers or wiretappers) cannot recover that secret information. Physical-layer security has often been studied in the context of the *wiretap channel*, which was first introduced by Wyner [1] and later refined by Csiszár and Körner [2]. Generalization of Wyner’s work to the Gaussian wiretap channel was considered in [3]. In the simplest essence, it was shown in these works that a source can transmit secret messages at a positive rate to a destination in the presence of a wiretapper by taking advantage of the potentially less “noisy” source-to-destination channel.

In Wyner’s original paper, a code design based on group codes was described for the wiretap channel. In [4], a code design based on coset codes was suggested for the type-II (the destination channel is error free) binary erasure wiretap channel. Recently, the authors of [5] constructed low-density parity-check (LDPC) based wiretap codes for the binary erasure channel (BEC) and the binary symmetric channel (BSC). Reference [6] considered the design of secure nested codes for type-II wiretap channels. More recently, references [7] and [8] concurrently established the result that polar codes [9] can achieve the secrecy capacity of the degraded binary-input

symmetric-output (BISO) wiretap channels. Note that all these designs are for codes with asymptotically large block lengths.

In some scenarios, it is sufficient for two nodes to agree upon a common secret (a key), instead of having to send secret information from a source to a destination. Under this relaxed criterion, it is shown in [10] that, with the use of a feedback channel, a positive key rate is achievable when the destination and wiretapper channels are two conditionally independent (given the source input symbols) memoryless binary channels, even if the destination channel is not more capable than the wiretapper channel. This notion of secret sharing is formalized in [11] based on the concept of *common randomness* between the source and destination. A three-phase process of achieving secret sharing over a wiretap channel with an additional public channel between the source and destination is suggested in [10]. The three phases are, respectively, advantage distillation, information reconciliation, and privacy amplification. Advantage distillation aims to provide the destination an advantage over the wiretapper. Information reconciliation aims at generating an identical random sequence at both the source and destination. Privacy amplification is the step that extracts a secret key from the identical random sequence agreed upon by the source and destination.

Information reconciliation is the most studied part [12]–[18] of any secret-sharing scheme. Perhaps the most well known practical application of reconciliation protocols is in quantum cryptography, where these protocols employ nonorthogonal states of a quantum system to provide two terminals with identical observations which are at least partially secret from an eavesdropper. Modern channel coding techniques are sometimes employed in these protocols. For instance, BSC-optimized LDPC codes are used in [18] to reduce the interactivity and improve the efficiency of the widely used reconciliation protocol Cascade suggested in [12]. Another application of reconciliation protocols is secret key agreement over wireless channels. An LDPC code-based method of extracting secrecy from jointly Gaussian random sources generated by a Rayleigh fading model was studied in [16]. In [17], multilevel coding/multistage decoding-like reconciliation employing LDPC codes was proposed for the quasi-static Rayleigh fading wiretap channel. Most of these LDPC codes are designed for the sole purpose of information reconciliation.

In [19], punctured LDPC codes were employed in a coding scheme for the Gaussian wiretap channel to reduce the security gap, which expresses the quality difference between the destination channel and wiretapper channel required to achieve a sufficient level of security. In [20], further reductions in the security gap are achieved using a coding scheme based on non-

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systematic LDPC codes. Unfortunately, the criterion of security gap does not readily translate into the notion of information-theoretic secrecy employed by Wyner [1].

In this paper, we consider the problem of secret sharing over the Gaussian wiretap channel with the constraints of binary phase-shift keyed (BPSK) source symbols and symbol-by-symbol hard-decision quantization at the destination. Our main goal is to develop a coding structure based on which practical “close-to-capacity” secret-sharing (key-agreement) codes can be constructed. Finite block length and moderate encoder/decoder complexity are the two main practical constraints that we consider when designing these codes. In accordance with Wyner’s notion of information-theoretic secrecy, the performance of our designs will be measured by the rate of secret information shared between the source and destination (which will be referred to as the *key rate*) as well as the rate of information that is leaked to the wiretapper through all its observations of the wiretap and public channels (which will be referred to as the *leakage rate*).

To rigorously gauge the secrecy performance of our code designs, we introduce the notion of relaxed key capacity in Section II. The relaxed key capacity is the maximum key rate that can be achieved over the wiretap channel provided that the leakage rate is bounded below a fixed value. In Section III, we calculate the relaxed key capacities over the BPSK source-constrained Gaussian wiretap channel with and without the constraint of hard-decision quantization at the destination. In Section IV, we present a secret-sharing scheme employing an ensemble of regular LDPC codes for the BPSK-constrained Gaussian wiretap channel with hard-decision quantization at the destination. We prove that the proposed secret-sharing scheme achieves the relaxed key capacity with asymptotically large block length. We note that a similar LDPC-based key-agreement scheme employing observations of correlated discrete stationary sources at the source, destination, and wiretapper was studied in [15]. A more detailed comparison between our scheme and the one proposed in [15] is also provided in Section IV. The asymptotic result in Section IV provides us a reasonable theoretical justification to design practical secret-sharing schemes based on the proposed coding structure. We propose in Section V to replace the regular LDPC code ensemble in Section IV by fixed LDPC codes that are more amenable to practical implementation. In the same section, we describe a code search algorithm based on density evolution analysis to obtain good irregular LDPC codes for the proposed secret-sharing scheme. We also compare the secrecy performance achieved by these irregular LDPC codes, BSC-optimized irregular LDPC codes, and some standard regular LDPC codes against the relaxed key capacity calculated in Section III. Finally, conclusions are drawn in Section VI.

II. SECRET-SHARING AND RELAXED KEY CAPACITY

We start by reviewing the framework of secret sharing proposed in [11]. The objective of secret sharing is for the source and destination to share secret information, which is obscure to the wiretapper, by exploiting *common randomness* [11] available to them through the wiretap channel. Here, we consider

the wiretap channel to be memoryless and specified by the conditional probability density function (pdf) $p_{Y,Z|X}(y, z|x)$. When the symbol X is sent by the source, Y and Z denote the corresponding symbols observed by the destination and wiretapper, respectively. In addition, we restrict ourselves to cases in which Y and Z are conditionally independent given X , i.e., $p_{Y,Z|X}(y, z|x) = p_{Y|X}(y|x)p_{Z|X}(z|x)$. This restriction is satisfied by the Gaussian wiretap channel considered in Section III and some other wireless wiretap channels [21]. For convenience, we will refer to the wiretap channel by the triple (X, Y, Z) . In addition to the wiretap channel, there is an interactive, authenticated and public channel with unlimited capacity between the source and destination. The source and destination can communicate via the public channel without any power or rate restriction. The wiretapper can perfectly observe all communications over the public channel but cannot tamper with the transmitted messages.

The aforementioned common randomness is to be extracted by a proper combination of transmission from the source to the destination through the wiretap channel (X, Y, Z) and information exchanges between them over the public channel. To this end, we consider the class of permissible secret-sharing strategies suggested in [11]. Consider t time instants labeled by $1, 2, \dots, t$, respectively. The wiretap channel is used n times during these t time instants at $i_1 < i_2 < \dots < i_n$. Set $i_{n+1} = t$. The public channel is used during the other $(t - n)$ time instants. Before the secret-sharing process starts, the source and destination generate, respectively, independent random variables M_X and M_Y . Then a permissible strategy proceeds as follows:¹

- At time instant $0 < i < i_1$, the source sends message $\Phi_i = \Phi_i(M_X, \Psi^{i-1})$ to the destination, and the destination sends message $\Psi_i = \Psi_i(M_Y, \Phi^{i-1})$ to the source. Both transmissions are carried over the public channel.
- At time instant $i = i_j$ for $j = 1, 2, \dots, n$, the source sends the symbol $X_j = X_j(M_X, \Psi^{i_j-1})$ to the wiretap channel. The destination and wiretapper observe the corresponding symbols Y_j and Z_j . There is no message exchange via the public channel, i.e., Φ_i and Ψ_i are null.
- At time instant $i_j < i < i_{j+1}$ for $j = 1, 2, \dots, n$, the source sends message $\Phi_i = \Phi_i(M_X, \Psi^{i-1})$ to the destination, and the destination sends message $\Psi_i = \Psi_i(M_Y, Y^j, \Phi^{i-1})$ to the source. Both transmissions are carried over the public channel.

At the end of the t time instants, the source generates its secret key $K = K(M_X, \Psi^t)$, and the destination generates its secret key $L = L(M_Y, Y^n, \Phi^t)$, where K and L take values from the same finite set \mathcal{K} .

Slightly extending the achievable key rate definition in [11], for $R_i \geq 0$, we call (R, R_i) an *achievable key-leakage rate pair* through the wiretap channel (X, Y, Z) if for every $\varepsilon > 0$, there exists a permissible secret-sharing strategy of the form described above such that

- 1) $\Pr\{K \neq L\} < \varepsilon$,
- 2) $\frac{1}{n}I(K; \Phi^t, \Psi^t) < \varepsilon$,

¹Throughout the paper, A^i stands for the sequence of symbols A_1, A_2, \dots, A_i , and A^0 is null.

- 3) $\frac{1}{n}I(K; Z^n | \Phi^t, \Psi^t) < R_l + \varepsilon$,
- 4) $\frac{1}{n}H(K) > R - \varepsilon$, and
- 5) $\frac{1}{n}\log_2 |\mathcal{K}| < \frac{1}{n}H(K) + \varepsilon$,

for sufficiently large n . Condition 2 restricts that the public messages (the messages conveyed through the public channel) contain a negligible rate of information about the key, while Condition 3 limits to R_l the rate of key information that the wiretapper can extract from its own channel observations and the public messages. Note that Condition 3 is trivially satisfied if $R_l \geq \frac{1}{n}\log_2 |\mathcal{K}|$. When $R_l = 0$, we note that Conditions 2 and 3 combine to essentially give the original condition $\frac{1}{n}I(K; Z^n, \Phi^t, \Psi^t) < \varepsilon$ of the achievable key rate definition in [11]². For the cases in which the alphabet of X is not finite, we also impose the following power constraint to the symbol sequence X^n sent out by the source:

$$\frac{1}{n} \sum_{j=1}^n |X_j|^2 \leq P \quad (1)$$

with probability one (w.p.1) for sufficiently large n . We note that the idea of key-leakage rate pair is similar to that of the secrecy-equivocation rate pair originally defined in [1].

The R_l -relaxed key capacity is defined as the maximum value of R such that (R, R_l) is an achievable key-leakage rate pair. The main reason for us to introduce the notion of relaxed key capacity is to employ it as a gauge to measure the performance of practical codes that will be presented in Section V. Since these codes have finite block lengths and are to be decoded by the belief propagation (BP) algorithm, they do not achieve zero leakage rate. Thus using the relaxed key capacity provides a more suitable comparison than using the original ‘‘straight’’ key capacity in [11]. Also, since these practical codes do not give zero leakage rate, their use could be considered as an information-reconciliation step. The secrecy performance could be further improved by additional privacy amplification.

For wiretap channels that satisfy the aforementioned conditional independence requirement, we have the following result, whose proof is sketched in Appendix A:

Theorem 1: The R_l -relaxed key capacity of the memoryless wiretap channel (X, Y, Z) with conditional pdf $p(y, z|x) = p(y|x)p(z|x)$ is given by

$$C_K(R_l) = \max_{X: E[|X|^2] \leq P} [\min\{I(X; Y) - I(Y; Z) + R_l, I(X; Y)\}].$$

We employ this result to calculate the relaxed key capacities of the BPSK-constrained Gaussian wiretap channel in the next section.

III. BPSK-CONSTRAINED GAUSSIAN WIRETAP CHANNEL

²When $R_l > 0$, if the combined condition $\frac{1}{n}I(K; Z^n, \Phi^t, \Psi^t) < R_l + \varepsilon$ is employed instead of Conditions 2 and 3, then it is easy to see that if (R, R_l) is an achievable key-leakage rate pair, $(R+r, R_l+r)$ is also achievable, for any $r \geq 0$, by simply transmitting the additional key information (of rate r) through the public channel. Separating the two conditions as suggested avoids such artificial consequence of the combined condition.

Hereafter, we focus on the Gaussian wiretap channel, in which the source-to-destination channel and source-to-wiretapper channel are both additive white Gaussian noise (AWGN) channels. We restrict the source to transmit only BPSK symbols. More specifically, let $X_i \in \{\pm 1\}$ be the i th transmit symbol from the source³, and let Y_i and Z_i be the corresponding received symbols at the destination and wiretapper, respectively. The Gaussian wiretap channel can then be modeled as

$$\begin{aligned} Y_i &= \beta X_i + N_i \\ Z_i &= \alpha \beta X_i + \tilde{N}_i, \end{aligned} \quad (2)$$

where N_i and \tilde{N}_i are independent and identically distributed (i.i.d.) zero-mean Gaussian random variables of variance σ^2 . Note that β is the gain of the BPSK symbols transmitted by the source. By the source power constraint (1), we have $\beta^2 \leq P$. Also, α is a positive constant that models the gain advantage of the wiretapper over the destination. Let the normalized gain be $\tilde{\beta} = \beta/\sigma$. Then, the received signal-to-noise ratios (SNRs) at the destination and wiretapper are $\tilde{\beta}^2$ and $\alpha^2 \tilde{\beta}^2$, respectively. Clearly, the Gaussian wiretap channel satisfies the memoryless and conditional independent properties required in Theorem 1. Specializing Theorem 1 to the BPSK-constrained Gaussian wiretap channel, it is not hard to show⁴ that the R_l -relaxed key capacity is given by (3) at the top of the next page where $H_2(p) = -p \log_2 p - (1-p) \log_2 (1-p)$ is the binary entropy function. We note that $C_b(R_l)$ is achieved when X_i is equiprobable; but it is not necessarily achieved by transmitting at the maximum allowable power P .

The achievability proof of Theorem 1 (cf. Appendix A) employs random Wyner-Ziv coding, in which the received symbols at the destination need to be quantized due to the fact that the channel alphabet at the destination in the Gaussian wiretap channel is continuously distributed. In this paper, we consider a simple symbol-by-symbol hard-decision quantization scheme in which the i th quantized destination symbol $\tilde{Y}_i = \text{sgn}(Y_i)$, where sgn is the signum function. Note that this quantization is suboptimal and leads to a loss in key capacity. We quantify this loss by applying Theorem 1 to the BPSK-constrained Gaussian wiretap channel with hard-decision quantization at the destination to calculate the relaxed- R_l key capacity $C_{bq}(R_l)$. Using the standard notation $Q(x) = \int_x^\infty \frac{e^{-u^2/2}}{\sqrt{2\pi}} du$, it is not hard to establish⁴ that

$$C_{bq}(R_l) = \max_{0 \leq \tilde{\beta} \leq \sqrt{\frac{P}{\sigma^2}}} [\min\{C_s(\tilde{\beta}) - C_w(\tilde{\beta}) + R_l, C_s(\tilde{\beta})\}], \quad (4)$$

³In later sections, whenever appropriate, we implicitly employ the mapping $+1 \rightarrow 0$ and $-1 \rightarrow 1$, where 0 and 1 are the two usual elements in GF(2).

⁴The proofs of (3) and (4) can be easily, though rather tediously, established by checking the concavity and symmetry of $I(X; Y) - I(Y; Z)$ as a function of the binary source distribution in the respective cases.

$$C_b(R_l) = \max_{0 \leq \tilde{\beta} \leq \sqrt{\frac{P}{\sigma^2}}} \left\{ \min \left\{ \frac{1}{2\pi} \int_0^\infty \int_0^\infty H_2 \left(\frac{1 + e^{-2\tilde{\beta}y} \cdot e^{-2\alpha\tilde{\beta}z}}{[1 + e^{-2\tilde{\beta}y}][1 + e^{-2\alpha\tilde{\beta}z}]} \right) [1 + e^{-2\tilde{\beta}y}] [1 + e^{-2\alpha\tilde{\beta}z}] e^{-\frac{(y-\tilde{\beta})^2 + (z-\alpha\tilde{\beta})^2}{2}} dydz \right. \right. \\ \left. \left. + R_l, 1 \right\} - \frac{1}{\sqrt{2\pi}} \int_0^\infty H_2 \left(\frac{1}{1 + e^{-2\tilde{\beta}y}} \right) (1 + e^{-2\tilde{\beta}y}) e^{-\frac{(y-\tilde{\beta})^2}{2}} dy \right\} \quad (3)$$

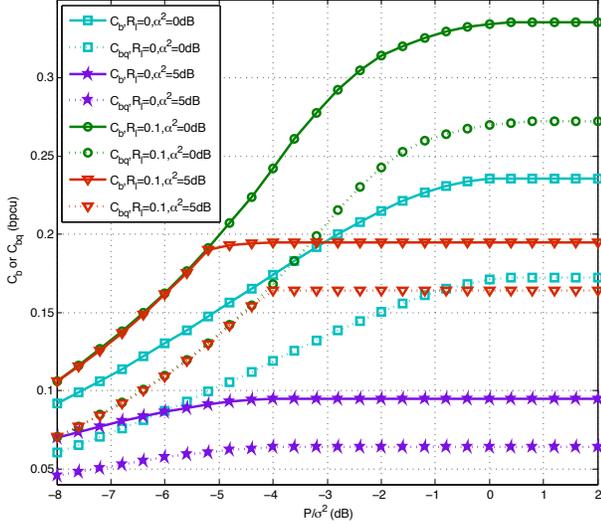


Fig. 1. Comparison between the relaxed key capacities C_b and C_{bq} for different values of maximum allowable leakage rate R_l over the BPSK-constrained Gaussian wiretap channel. For C_{bq} , symbol-by-symbol hard-decision quantization is imposed at the destination.

where

$$C_s(\tilde{\beta}) = 1 - H_2(Q(\tilde{\beta})) \\ C_w(\tilde{\beta}) = 1 - \frac{1}{\sqrt{2\pi}} \int_0^\infty [1 + e^{-2\alpha\tilde{\beta}z}] e^{-\frac{(z-\alpha\tilde{\beta})^2}{2}} \\ H_2 \left(\frac{Q(\tilde{\beta}) + [1 - Q(\tilde{\beta})]e^{-2\alpha\tilde{\beta}z}}{1 + e^{-2\alpha\tilde{\beta}z}} \right) dz.$$

are respectively the capacities of the quantized-destination-to-source and quantized-destination-to-wiretapper channels at the normalized gain $\tilde{\beta}$. Like before, $C_{bq}(R_l)$ is achieved when X_i is equiprobable; but it is not necessarily achieved by transmitting at the maximum allowable power P . To visualize the loss in key capacity, Fig. 1 shows $C_b(R_l)$ and $C_{bq}(R_l)$ versus the maximum allowable SNR (P/σ^2) for different values of R_l . We can see that the loss in key capacity due to the hard-decision quantization is no more than 0.07 bits per (wiretap) channel use (bpcu) for the cases shown.

IV. SECRET-SHARING SCHEME EMPLOYING REGULAR LDPC CODE ENSEMBLES

The achievability proof of Theorem 1 in Appendix A employs a secret-sharing scheme with random Wyner-Ziv coding. For the BPSK-constrained Gaussian wiretap channel with destination hard-decision quantization, we show in this section that a secret-sharing scheme that employs a properly constructed ensemble of regular LDPC codes can also asymptotically achieve the R_l -relaxed key capacity. We will design

practical secret-sharing schemes for the BPSK-constrained Gaussian wiretap channel in Section V based on the LDPC coding structure proposed here.

To start describing the proposed secret-sharing scheme, let us consider an (n, l) binary linear block code \mathcal{C} with 2^l distinct codewords of length n and an $(l-k)$ -dimensional subspace \mathcal{W} in \mathcal{C} . The pair $(\mathcal{C}, \mathcal{W})$ defines what we call an (n, l, k) secret-sharing binary linear block code. Given any such $(\mathcal{C}, \mathcal{W})$ pair, let \mathcal{K} be the quotient of \mathcal{C} by \mathcal{W} . Then \mathcal{K} is a linear space of 2^k distinct cosets of the form $\hat{x}^n + \mathcal{W}$, where $\hat{x}^n \in \mathcal{C}$. We will use the coset index in \mathcal{K} as the secret key. We will see later that the ordering of the cosets in \mathcal{K} is immaterial. The ratios $R_c = \frac{l}{n}$ and $R_k = \frac{k}{n}$ will be referred to as the *code rate* and *key rate* of the (n, l, k) secret-sharing binary linear block code, respectively.

Next, we consider the following random ensemble of (n, l, k) secret-sharing binary linear block codes:

- The (n, l) linear block code \mathcal{C} is chosen uniformly from the ensemble of (d_v, d_c) -regular LDPC codes considered in [22]. That is, we consider that \mathcal{C} is chosen uniformly from the set of all bipartite graphs [23] with n degree- d_v variable nodes and $n-l$ degree- d_c check nodes.
- The subspace \mathcal{W} is chosen uniformly over the set of all possible $(l-k)$ -dimensional subspaces in \mathcal{C} .

Note that a realization of the randomly chosen \mathcal{C} may actually have $2^{l'}$ distinct codewords, where $l' > l$. In such case, \mathcal{K} will be of dimension $k+l'-l$; so the actual key rate will be larger than R_k . Hence, we can conservatively assume \mathcal{C} is always an (n, l) linear code with 2^l distinct codewords to simplify the notation below.

Consider the following secret-sharing scheme:

- 1) **Random source transmission and destination quantization:** The source randomly generates a sequence X^n of n i.i.d. equally likely BPSK symbols and transmits them consecutively over the Gaussian wiretap channel (X, Y, Z) . The destination receives the sequence Y^n and obtains the quantized sequence \tilde{Y}^n by performing symbol-by-symbol hard-decision quantization on Y^n , i.e., $\tilde{Y}_j = \text{sgn}(Y_j)$. This quantization effectively turns the source-to-destination channel into a BSC, whose cross-over probability depends on the SNR of the original source-to-destination channel. We note that the wiretapper also observes Z^n through the source-to-wiretapper channel.
- 2) **Syndrome generation through LDPC encoding at destination:** The next step is for the destination to feed a compressed version of \tilde{Y}^n back to the source through the public channel so that the source can resolve the differences between X^n and \tilde{Y}^n . This is similar to the

problem of compressing an equiprobable memoryless binary source with side information using LDPC codes considered in [24]. More precisely, the destination selects $(\mathcal{C}, \mathcal{W})$ randomly from the ensemble of secret-sharing (d_v, d_c) -regular LDPC codes described above. It then generates the syndrome sequence $S^{n-l} = \tilde{Y}^n H^T$, where H is a parity-check matrix of \mathcal{C} . We note that each S^{n-l} uniquely corresponds to a coset $E_S^n + \mathcal{C}$. Further, the destination determines which coset in \mathcal{K} that $X_0^n = \tilde{Y}^n + E_S^n \in \mathcal{C}$ belongs. Denote that coset by $\hat{X}_0^n + \mathcal{W}$. Finally, the destination sends E_S^n, \mathcal{C} , and \mathcal{W} back to the source via the public channel.

- 3) **Decoding at source:** The source then tries to decode for X_0^n from observing X^n and E_S^n according to $(\mathcal{C}, \mathcal{W})$. Treating $X^n + E_S^n$ as a noisy version of X_0^n , it performs maximum likelihood (ML) decoding to obtain a codeword in \mathcal{C} and then determines which coset in \mathcal{K} that the decoded codeword belongs. Denote that coset by $\hat{X}^n + \mathcal{W}$.
- 4) **Key generation at source and destination:** The destination sets its key L to be index of $\hat{X}_0^n + \mathcal{W}$ in \mathcal{K} . Similarly, the source sets its key K to be the index of $\hat{X}^n + \mathcal{W}$ in \mathcal{K} .

It is clear that this secret-sharing scheme is permissible. Indeed, under the notation of Section II, for the proposed secret-sharing scheme, $t = n + 1$, $i_j = j$ for $j = 1, 2, \dots, n$, $M_X = X^n$, $M_Y = (\mathcal{C}, \mathcal{W})$, and $\Psi_{n+1} = (E_S^n, \mathcal{C}, \mathcal{W})$ is the only message sent via the public channel. Hence, we can evaluate the secrecy performance of the scheme in the context of its achievable key rate defined in Section II as follows.

First, based on the linearity of LDPC codes, the memoryless nature of the Gaussian wiretap channel, the chosen distribution of X^n , and the symbol-by-symbol hard decision performed to obtain \tilde{Y}^n at the destination, it is easy to check that $H(\tilde{Y}^n) = n$, $H(E_S^n|\mathcal{C}, \mathcal{W}) = n - l$, $H(L|\mathcal{C}, \mathcal{W}) = k$, and $I(L; E_S^n|\mathcal{C}, \mathcal{W}) = 0$. Then, $0 \leq I(L; E_S^n, \mathcal{C}, \mathcal{W}) = I(L; \mathcal{C}, \mathcal{W}) = H(L) - H(L|\mathcal{C}, \mathcal{W}) \leq k - k = 0$. Hence, $I(L; E_S^n, \mathcal{C}, \mathcal{W}) = 0$, $I(L; \mathcal{C}, \mathcal{W}) = 0$, and $H(L) = k$. If the decoding process at the source achieves the ensemble average error probability $\bar{\epsilon}_s$, then we have $\Pr\{K \neq L\} \leq \bar{\epsilon}_s$. Thus, $H(K|L) \leq 1 + k\bar{\epsilon}_s$ and $H(L|K) \leq 1 + k\bar{\epsilon}_s$ by Fano's inequality. That in turn implies $\frac{1}{n}I(K; E_S^n, \mathcal{C}, \mathcal{W}) = \frac{1}{n}[I(L; E_S^n, \mathcal{C}, \mathcal{W}) + I(K; E_S^n, \mathcal{C}, \mathcal{W}|L) - I(L; E_S^n, \mathcal{C}, \mathcal{W}|K)] \leq \frac{1}{n}I(K; E_S^n, \mathcal{C}, \mathcal{W}|L) \leq \frac{1}{n}H(K|L) \leq R_k\bar{\epsilon}_s + \frac{1}{n}$ and

$$\begin{aligned} \frac{1}{n}H(K) &= \frac{1}{n}[H(L) + H(K|L) - H(L|K)] \\ &\geq R_k - R_k\bar{\epsilon}_s - \frac{1}{n}. \end{aligned} \quad (5)$$

Hence, Conditions 2 and 5 in Section II are satisfied when n is

sufficiently large if $\bar{\epsilon}_s$ can be made arbitrarily small. Similarly,

$$\begin{aligned} I(K; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) &= I(L; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) + I(K; Z^n, E_S^n, \mathcal{C}, \mathcal{W}|L) \\ &\quad - I(L; Z^n, E_S^n, \mathcal{C}, \mathcal{W}|K) \\ &\leq I(L; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) + I(K; Z^n, E_S^n, \mathcal{C}, \mathcal{W}|L) \\ &\leq I(L; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) + H(K|L) \\ &\leq I(L; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) + k\bar{\epsilon}_s + 1 \\ &= I(L; Z^n, E_S^n|\mathcal{C}, \mathcal{W}) + k\bar{\epsilon}_s + 1, \end{aligned} \quad (6)$$

where the last line is due to the fact that $I(L; \mathcal{C}, \mathcal{W}) = 0$. Here,

$$\begin{aligned} I(L; Z^n, E_S^n|\mathcal{C}, \mathcal{W}) &= H(L|\mathcal{C}, \mathcal{W}) + H(E_S^n|Z^n, \mathcal{C}, \mathcal{W}) - H(L, E_S^n|Z^n, \mathcal{C}, \mathcal{W}) \\ &= H(L|\mathcal{C}, \mathcal{W}) + H(E_S^n|Z^n, \mathcal{C}, \mathcal{W}) \\ &\quad + H(\tilde{Y}^n|Z^n, L, E_S^n, \mathcal{C}, \mathcal{W}) - H(L, E_S^n, \tilde{Y}^n|Z^n, \mathcal{C}, \mathcal{W}) \\ &\leq H(L|\mathcal{C}, \mathcal{W}) + H(E_S^n|\mathcal{C}, \mathcal{W}) + H(\tilde{Y}^n|Z^n, L, E_S^n) \\ &\quad - H(\tilde{Y}^n|Z^n, \mathcal{C}, \mathcal{W}) \\ &= H(L|\mathcal{C}, \mathcal{W}) + H(E_S^n|\mathcal{C}, \mathcal{W}) + H(\tilde{Y}^n|Z^n, L, E_S^n) \\ &\quad - H(\tilde{Y}^n) + I(\tilde{Y}^n; Z^n), \end{aligned} \quad (7)$$

where the last equality follows from the fact that (\tilde{Y}^n, Z^n) is independent of $(\mathcal{C}, \mathcal{W})$. Also $I(\tilde{Y}^n; Z^n) = nI(\tilde{Y}; Z) = nC_w(\tilde{\beta})$ because of the memoryless nature of the channel from \tilde{Y}^n and Z^n and of the fact that the $\Pr(\tilde{Y} = +1) = \Pr(\tilde{Y} = -1) = 0.5$ achieves the capacity of this channel. Moreover, consider a fictitious receiver at the wiretapper trying to decode for \tilde{Y}^n from observing Z^n, E_S^n , and \hat{X}_0^n (or L equivalently). Suppose that the ensemble average error probability achieved by this receiver, employing ML decoding, is $\bar{\epsilon}_w$. Then we have $H(\tilde{Y}^n|Z^n, L, E_S^n) \leq 1 + (l-k)\bar{\epsilon}_w$ again by Fano's inequality. Putting all these and (7) back into (6), we obtain

$$\begin{aligned} \frac{1}{n}I(K; Z^n|E_S^n, \mathcal{C}, \mathcal{W}) &\leq \frac{1}{n}I(K; Z^n, E_S^n, \mathcal{C}, \mathcal{W}) \\ &\leq C_w(\tilde{\beta}) - (R_c - R_k) + R_k\bar{\epsilon}_s + (R_c - R_k)\bar{\epsilon}_w + \frac{2}{n}. \end{aligned} \quad (8)$$

The preceding secrecy analysis of the proposed secret-sharing scheme based on the secret-sharing regular LDPC code ensembles allows us to arrive at the following result:

Theorem 2: Fix $\tilde{\beta} > 0$. Suppose that $C_w(\tilde{\beta}) \leq R_c \leq C_s(\tilde{\beta})$. For any $R_l \geq 0$, choose $R_k = \min\{R_c - C_w(\tilde{\beta}) + R_l, R_c\}$. Then (R_k, R_l) is an achievable key-leakage rate pair through the BPSK-constrained Gaussian wiretap channel with symbol-by-symbol hard-decision destination quantization. Moreover, this rate pair can be achieved by the aforementioned secret-sharing scheme using the secret-sharing (d_v, d_c) -regular LDPC code ensemble described before when n increases.

Proof: First, suppose that $R_c < C_s(\tilde{\beta})$ and $R_l > 0$. Since $R_c \geq C_w(\tilde{\beta})$, $R_k > 0$. Then $R_c - R_k = \max\{C_w(\tilde{\beta}) - R_l, 0\} < C_w(\tilde{\beta})$. Thus, by (8), if we can show that there is a pair (d_v, d_c) such that $R_c = 1 - \frac{d_v}{d_c}$, and both $\bar{\epsilon}_s$ and $\bar{\epsilon}_w$ in the preceding discussion vanish as n increases, then Condition

3 in Section II will be satisfied when n is sufficiently large. From the preceding discussion, Conditions 1, 2, and 5 will also be satisfied. Comparing (5) and Condition 4, we see then that (R_k, R_l) will be an achievable key-leakage pair. The existence of such pair (d_v, d_c) results from the following lemma, whose proof is an adaptation of the arguments in [25, Theorem 3] to the proposed secret-sharing (d_v, d_c) -regular LDPC code ensemble. The details are presented in Appendix B.

Lemma 1: Consider the ensemble average error probabilities $\bar{\epsilon}_w$ and $\bar{\epsilon}_s$ achieved by the respective ML decoders at the source and wiretapper of the secret-sharing (d_v, d_c) -regular LDPC code ensemble mentioned above. For any fixed $\beta > 0$, suppose that $R_c < C_s(\beta)$ and $R_c - R_k < C_w(\beta)$. Then, there exists a choice of (d_v, d_c) such that

- 1) $R_c = 1 - \frac{d_v}{d_c}$,
- 2) $\bar{\epsilon}_w$ decreases exponentially (polynomially) with increasing n for $R_k > 0$ (for $R_k = 0$), and
- 3) $\bar{\epsilon}_s$ decreases polynomially with increasing n .

Finally, note that the before-imposed restrictions $R_c < C_s(\beta)$ and $R_l > 0$ can be removed since the key-leakage rate region is closed. ■

A comparison of Theorem 2 and (4) shows that the restriction to the secret-sharing regular LDPC code ensemble described in this section does not reduce the relaxed key capacity of the BPSK-constrained Gaussian wiretap channel with destination hard-decision quantization.

As mentioned in Section I, a similar LDPC-based key-agreement scheme employing observations of correlated discrete stationary sources at the source, destination, and wiretapper was studied in [15]. After Step 1) of our proposed secret-sharing scheme, the observations X^n , \tilde{Y}^n , and Z^n at the three terminals can be viewed as generated from correlated sources; thus reducing our model to the one considered in [15]⁵, except that the wiretapper alphabet is continuous in our case. As in our scheme, the scheme in [15] has the syndrome S^{n-l} of \tilde{Y}^n sent to the source. On the other hand, the key in [15] is obtained by calculating the syndrome of \tilde{Y}^n with respect to another independently selected LDPC code. The scheme in [15] is shown to achieve key capacity via a similar approach as ours. First, the consideration of leakage information is converted to that of the error probabilities achieved by decoders at the source and wiretapper by an upper bound similar to (8) for a pair of fixed LDPC codes (cf. Eqn. (9)). Then, the existence of a fixed code pair with vanishing error probabilities is shown via a ML decoding error analysis of the code ensemble based on the method of types [26]. Because of the continuous wiretapper alphabet, the ML decoding error analysis in [15] does not directly apply to our case. Hence, we have opted for the combined union and Shulman-Feder bounding technique in [25], which does, however, require the BISO nature of the channel from the (quantized) destination to the wiretapper. Obviously, Lemma 1 also implies the existence of a fixed $(\mathcal{C}, \mathcal{W})$ from the secret-sharing regular LDPC ensemble with vanishing decoding errors in our design, and hence the use of

this fixed $(\mathcal{C}, \mathcal{W})$ is also sufficient to achieve the relaxed key capacity in our case.

Expressed in our notation, elements in the LDPC code ensemble of [15] are also of the form $(\mathcal{C}, \mathcal{W})$. For our ensemble, \mathcal{W} is (conditionally) uniformly distributed over the set of all subspaces of a given \mathcal{C} . For the ensemble of [15], \mathcal{W} is (conditionally) uniformly distributed over the set of subspaces of \mathcal{C} specified by the concatenation of the parity matrices of \mathcal{C} and another properly chosen regular LDPC code. While each element in the ensemble of [15] is also an element of our ensemble, the two ensembles are different since the respective (conditional) uniform distributions for \mathcal{W} are defined over two different sets of subspaces. In a sense, the ensemble of [15] is more restrictive since \mathcal{W} also needs to be an LDPC code. The discussion in this section shows that the LDPC structure needs to be imposed only on \mathcal{C} but not on \mathcal{W} . This bears significance in the design of practical codes because the design based on one LDPC structure derived from our ensemble is much simpler, as will be illustrated in the following section.

V. SECRET-SHARING SCHEME EMPLOYING FIXED PRACTICAL LDPC CODES

In practice, it is not realistic to employ the secret-sharing regular LDPC code ensemble and ML decoding at the source as suggested in Section IV, for even moderate values of n . In this section, we investigate the secrecy performance of a secret-sharing scheme similar to the one suggested in Section IV, but with fixed choices of $(\mathcal{C}, \mathcal{W})$ from the secret-sharing regular LDPC code ensemble and more-practical BP decoding. In addition, from the proof of Lemma 1 in Appendix B, the values of d_v and d_c need to be large in order for the ensemble average error probabilities $\bar{\epsilon}_w$ and $\bar{\epsilon}_s$ to decrease with n , and hence to achieve the relaxed key capacity. As large values of d_v and d_c increase the graph complexity of a LDPC code, and hence the complexity of BP decoding, we have to limit ourselves to small values of d_v and d_c . To alleviate the shortcoming of regular LDPC codes with small d_v and d_c , we also consider the use of more-efficient irregular LDPC codes in the proposed secret-sharing scheme.

We consider the secret-sharing scheme described in Section IV, except that the secret-sharing code $(\mathcal{C}, \mathcal{W})$ is fixed and is known to the source and destination (and also the wiretapper) beforehand. Here, we consider the (fixed) code \mathcal{C} chosen from ensembles of regular and irregular LDPC codes. The details will be discussed later. For convenience in the key generation step (and later in the search of good irregular LDPC codes), the subspace \mathcal{W} is chosen as follows. Referring back to Step 2) of the scheme, choose a lower triangular version⁶ of H , for example by performing Gaussian elimination on the connection matrix of the bipartite graph of \mathcal{C} as discussed in [27]. Hence, $H = [A, B]$ where B is an $(n-l) \times (n-l)$ lower triangular matrix. Write $\tilde{Y}^n = [d^l, e^{n-l}]$ where d^l and e^{n-l} are row vectors containing l and $n-l$ elements, respectively.

⁵Our destination and source correspond to the sender and receiver in [15], respectively. For convenience, we employ our terminology here when referring to the scheme in [15].

⁶We can, without loss of generality, assume H to be of full rank as discussed before. Alternatively, an approximate lower triangular version of H as described in [27] can also be used if efficient encoding is needed.

Then the syndrome $S^{n-l} = d^l A^T + e^{n-l} B^T$, codeword $X_0^n = [d^l, d^l A^T (B^{-1})^T]$ and coset leader $E_S^n = [0^T, S^{n-l} (B^{-1})^T]$. Note that d^l contains the systematic bits of the codeword X_0^n while $d^l A^T (B^{-1})^T$ contains the parity bits. The subspace \mathcal{W} is chosen to be the set of codewords obtained by setting the first k bits⁷ in the vector d^l above to zero. The quotient space \mathcal{K} is isomorphic to the set of codewords obtained by setting the last $l - k$ bits in the vector d^l to zero. Hence we can use the first k bits in d^l as the key. Since $(\mathcal{C}, \mathcal{W})$ is known to the source beforehand, there is no need to feed it back to the source via the public channel in Step 2) of the secret-sharing scheme. Step 3) of the scheme is modified to replace ML decoding by the practical BP decoding.

First, it is unlikely that the above fixed choice of \mathcal{W} results in an LDPC code. Hence, the fixed coding scheme suggested here is different from that of [15]. Second, the secrecy analysis of Section IV can be easily modified to reflect the use of the fixed secret-sharing code $(\mathcal{C}, \mathcal{W})$ mentioned above. In particular, the upper bound on the leakage rate in (8) becomes

$$\begin{aligned} & \frac{1}{n} I(K; Z^n | E_S^n) \\ & \leq C_w(\tilde{\beta}) - (R_c - R_k) + R_k \epsilon_s + (R_c - R_k) \epsilon_w + \frac{2}{n}, \quad (9) \end{aligned}$$

where ϵ_s and ϵ_w are now the error probabilities achieved by the BP decoders at the source and wiretapper, respectively. Since the bound above is derived from Fano's inequality, it applies for any decoder (ML, BP, etc.), and the value of the bound depends on the choices of decoders only through ϵ_s and ϵ_w . Below, we perform computer simulation to estimate ϵ_s and ϵ_w and then employ (9) to bound the leakage rates achieved by $(\mathcal{C}, \mathcal{W})$ constructed from different choices of finite block length LDPC codes as described above. More specifically, suppose that the key rate of a secret-sharing LDPC code $(\mathcal{C}, \mathcal{W})$ is R_k and ϵ_s obtained from simulation is small. By setting R_l to be the value of the bound (9) obtained as described, then (R_k, R_l) will be considered a key-leakage rate pair achievable by $(\mathcal{C}, \mathcal{W})$.

A. Secret-sharing regular LDPC codes

We start by evaluating the secrecy performance of using regular LDPC codes with small d_v and d_c in the secret-sharing scheme described above. First, we pick \mathcal{C} from the rate-0.25 (3,4)-regular LDPC code ensemble by realizing the random bipartite graph experiment described in [22] and then remove all length-4 loops in the realization. The block length n of the LDPC code is set to 10^5 . As mentioned above, we need to estimate the values of ϵ_s and ϵ_w from computer simulation. To get ϵ_s , BP decoding is implemented at the source. Similarly, a BP decoder is implemented for the fictitious receiver at the wiretapper to obtain ϵ_w . In order to provide information about L to the latter decoder, the intrinsic log-likelihood ratios (LLRs) of the first k elements in d^l , which are associated with L , are explicitly set to $\pm\infty$ according to the true bit values. While this method may not be the optimal way to feed

⁷It is easy to see that the secrecy performance is the same for any choice of k bits in d^l for the BP decoders described below.

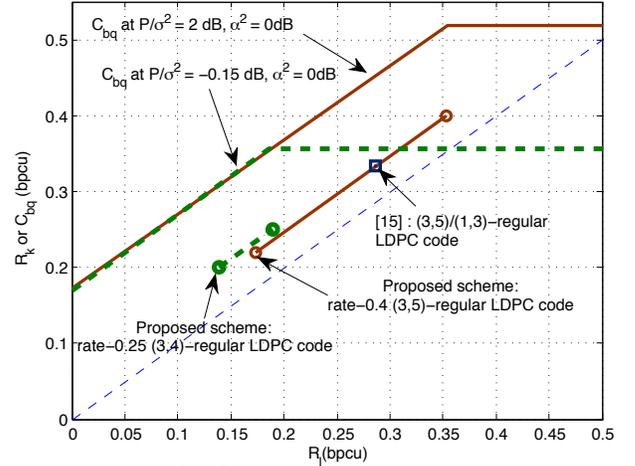


Fig. 2. Plot of the (R_k, R_l) -trajectories achieved by the proposed secret-sharing scheme employing secret-sharing regular LDPC codes $(\mathcal{C}, \mathcal{W})$ with block length of 10^5 . Two cases are shown in the figure. The dash curve corresponds to the case of $P/\sigma^2 = -0.15$ dB, $\alpha^2 = 0$ dB, and \mathcal{C} is a rate-0.25 (3,4)-regular LDPC code. The solid curve corresponds to the case of $P/\sigma^2 = 2$ dB, $\alpha^2 = 0$ dB, and \mathcal{C} is a rate-0.4 (3,5)-regular LDPC code. For comparison, the corresponding boundary of the (C_{bq}, R_l) region for each case is also included in the figure. For the second case, the (R_k, R_l) rate pair achieved by the scheme proposed in [15] is denoted by the square symbol. The code used in that scheme is obtained by concatenating the (3,5)-regular LDPC parity-check matrix and another (1,3)-regular LDPC parity-check matrix.

information of L to the BP decoder, we choose to employ it because of its simplicity and the fact that this method also allows simple density evolution analysis, which will be used to search for good irregular LDPC codes in Section V-B below.

Fig. 2 shows the trajectory of (R_k, R_l) achievable by the rate-0.25 secret-sharing (3,4)-regular LDPC code $(\mathcal{C}, \mathcal{W})$ when the maximum allowable SNR P/σ^2 is limited to -0.15 dB and $\alpha^2 = 0$ dB. Different values of R_k on the trajectory shown are obtained by varying the value of k (i.e., the dimension of \mathcal{W} also changes). When obtaining each shown pair (R_k, R_l) , we choose $\tilde{\beta}^2$, up to P/σ^2 , such that $\epsilon_s \leq 0.01$, $\epsilon_w \leq 0.01$ and the bound in (9) is minimized. For any so-obtained pair (R_k, R_l) located to the right of the 45° line in Fig. 2, the bound (9) becomes too loose, and the pair is not plotted. From Fig. 2, we observe that the pair $(R_k, R_l) = (0.2, 0.139)$ gives the smallest (bound on) leakage rate that is achievable by the rate-0.25 secret-sharing (3,4)-regular LDPC code in the proposed scheme.

Next, we try to compare the secrecy performance of our secret-sharing scheme to that of [15]. As discussed near the end of Section IV, the scheme of [15] requires a pair of independently chosen regular LDPC codes. Since no practical code designs or examples are provided in [15], we choose an LDPC code pair for the scheme of [15] that is similar to the choice of our secret-sharing code above for comparison. For the scheme of [15], the first LDPC code is set to be \mathcal{C} above (i.e., the rate-0.25 (3,4)-regular LDPC code). The other code \mathcal{C}' (from which the secret key is generated) is chosen independently from another regular LDPC code ensemble such that the result achieves a desired key rate R_k (cf. [5]). Note that only a few values of R_k are possible if d_v and d_c are restricted to have small values. Again, as discussed near the end of Section IV, the pair $(\mathcal{C}, \mathcal{C}')$ can be expressed in our $(\mathcal{C}, \mathcal{W})$

notation. As such, the LDPC subcode \mathcal{W} is obtained from concatenating parity-check matrices of \mathcal{C} and \mathcal{C}' . Note that \mathcal{W} is in general an irregular LDPC code. To clearly distinguish between our scheme and the one of [15] in the discussion below, we will employ the notation $(\mathcal{C}, \mathcal{C}')$ when referring to the latter. The bound (9) is employed to determine the rate pairs (R_k, R_l) that can be achieved by $(\mathcal{C}, \mathcal{C}')$, as described previously.

Under the parameter setting above ($P/\sigma^2 = -0.15$ dB, $\alpha^2 = 0$ dB, and $n = 10^5$), we are not able to find a choice of \mathcal{C}' (with small d_v and d_c) that satisfies the requirement $\epsilon_w \leq 0.01$. In order to illustrate the comparison between the two schemes, we increase the value of P/σ^2 to 2.0 dB. For this case, we pick \mathcal{C} to be a rate-0.4 (3, 5)-regular LDPC code. The (R_k, R_l) -trajectory achieved by our secret-sharing scheme with $(\mathcal{C}, \mathcal{W})$ is overlaid in Fig. 2. We see that the lowest leakage rate achieved by this choice of $(\mathcal{C}, \mathcal{W})$ is at the pair $(R_k, R_l) = (0.22, 0.173)$. For the scheme of [15], picking \mathcal{C}' to be an (1, 3)-regular LDPC code, the pair $(\mathcal{C}, \mathcal{C}')$ achieves the key-leakage rate pair $(R_k, R_l) = (0.333, 0.286)$ as shown by the square symbol in Fig. 2. This value of R_l is the lowest that we can obtain from picking many different \mathcal{C}' with small d_v and d_c .

Summarizing the above results, our secret-sharing scheme outperforms the scheme of [15] when the respective code employed in each scheme is restricted among the choices of regular LDPC codes with small node degrees and finite block lengths. However, we can observe that there is a significant gap between the (R_k, R_l) pairs achieved by the proposed scheme and the maximally achievable (C_{bq}, R_l) key-leakage pair boundary. This illustrates that regular LDPC codes with small d_v and d_c and finite block length do not provide good secret-sharing performance.

B. Secret-sharing irregular LDPC codes

To improve secret-sharing performance, we search for “good” irregular LDPC codes to be used as \mathcal{C} in the proposed scheme. The structure of a secret-sharing code $(\mathcal{C}, \mathcal{W})$ described in the beginning of this section facilitates the code search process because only the LDPC structure of \mathcal{C} needs to be optimized. Such optimization can be performed by employing the density-evolution based linear programming technique suggested in [28]. The search objective is to find an irregular LDPC secret-sharing code $(\mathcal{C}, \mathcal{W})$ with maximum R_c , given a fixed R_k , such that both the decoding error probabilities ϵ_s and ϵ_w in (9) are vanishing as the BP decoders iterate. By (9), this results in minimization of the bound on R_l for the fixed R_k .

Using standard notation, let the variable- and check-node degree distribution polynomials of an irregular LDPC code ensemble be, respectively, $\lambda(x) = \sum_{i=2}^{d_v} \lambda_i x^{i-1}$ and $\rho(x) = \sum_{i=2}^{d_c} \rho_i x^{i-1}$, where λ_i (ρ_i) represents the fraction of edges emanating from the variable (check) nodes of degree i . We are to design an irregular LDPC code \mathcal{C} and its subcode \mathcal{W} that work well for the channel from the (quantized) destination to source and the channel from the (quantized) destination to wiretapper, corresponding to the error probabilities ϵ_s and

ϵ_w , respectively. Fix $\rho(x)$, and let $e_s(\ell)$ and $e_w(\ell)$ denote the bit error probabilities obtained by the BP decoders at the source and wiretapper, respectively, at the ℓ th density evolution iteration [22], [28] when an initial $\tilde{\lambda}(x) = \sum_{i=2}^{d_v} \tilde{\lambda}_i x^{i-1}$ is used. Now, let $A_{\ell,j}$ denote the bit error probability obtained at the source by running the density evolution for ℓ iterations, in which $\tilde{\lambda}(x)$ is used as the variable-node degree distribution for the first $\ell - 1$ iterations and the variable-node degree distribution with a singleton of unit mass at degree j is used for the final iteration. Let $B_{\ell,j}$ denote the similar quantity for bit error probability obtained at the wiretapper. Then, we have $e_s(\ell) = \sum_{j=2}^{d_v} A_{\ell,j} \tilde{\lambda}_j$ and $e_w(\ell) = \sum_{j=2}^{d_v} B_{\ell,j} \tilde{\lambda}_j$. Note that the values of $A_{\ell,j}$ and $B_{\ell,j}$ are obtained via density evolution. To account for the availability of perfect information of the k bits corresponding to the key at the wiretapper’s BP decoder, the intrinsic LLR distribution entered into the density evolution analysis for the wiretapper’s decoder is set to be a mixture of the distribution of the channel outputs at the wiretapper (with the quantized destination symbols as the channel input) and an impulse at $+\infty$. The weights of the two components in the mixture are determined by the value of R_k .

Let $\epsilon > 0$ be a small prescribed error tolerance. Suppose that $\tilde{\lambda}(x)$ satisfies the property that $e_s(M_s) \leq \epsilon$ and $e_w(M_w) \leq \epsilon$, for some integers M_s and M_w . Then, we can frame the R_c -maximizing code design problem as the following linear program:

$$\max_{\lambda(x)} \sum_{j=2}^{d_v} \frac{\lambda_j}{j}$$

subject to

$$\sum_{j=2}^{d_v} \lambda_j = 1, \quad \lambda_i \geq 0 \quad \text{for } 2 \leq i \leq d_v,$$

$$\left| \sum_{j=2}^{d_v} A_{\ell,j} \lambda_j - e_s(\ell) \right| \leq \max[0, \delta(e_s(\ell-1) - e_s(\ell))]$$

$$\text{and } \sum_{j=2}^{d_v} A_{\ell,j} \lambda_j \leq e_s(\ell-1), \quad \text{for } 1 \leq \ell \leq M_s$$

$$\left| \sum_{j=2}^{d_v} B_{\ell,j} \lambda_j - e_w(\ell) \right| \leq \max[0, \delta(e_w(\ell-1) - e_w(\ell))],$$

$$\text{and } \sum_{j=2}^{d_v} B_{\ell,j} \lambda_j \leq e_w(\ell-1), \quad \text{for } 1 \leq \ell \leq M_w,$$

where d_v here is the maximum allowable degree of $\lambda(x)$ and δ is a small positive number. The solution $\lambda(x)$ of the above linear program is then employed as the initial $\tilde{\lambda}(x)$ for the next search round. The search process continues this way until $e_s(M_s)$ or $e_w(M_w)$ becomes larger than ϵ , or until $\lambda(x)$ converges. We can also fix $\lambda(x)$ and obtain a similar linear programming problem for $\rho(x)$. The iterative search can then alternate between the linear programs for $\lambda(x)$ and $\rho(x)$, respectively.

The secret-sharing irregular LDPC codes presented below are obtained from the code search procedure described above

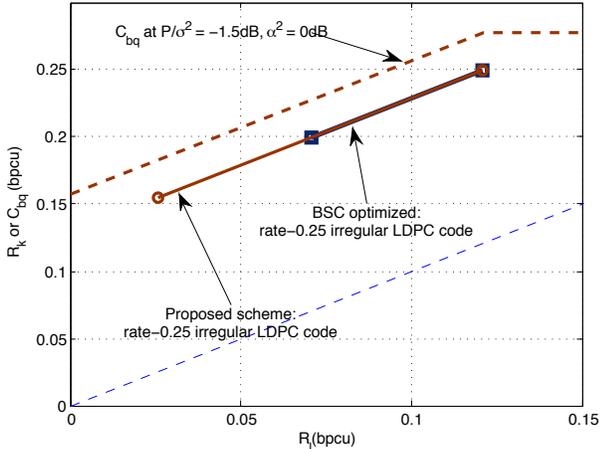


Fig. 3. Plot (with circle markers) of the (R_k, R_l) -trajectory achieved by the proposed secret-sharing scheme employing the rate-0.25 secret-sharing irregular LDPC code obtained from the code search process described in Section V-B. The block length is set to 10^5 . The channel parameter setting of $P/\sigma^2 = -1.5$ dB and $\alpha^2 = 0$ dB is assumed. The boundary of the (C_{bq}, R_l) region for this set of channel parameters is included in the figure. The (R_k, R_l) -trajectory achieved by the proposed secret-sharing scheme employing a standard rate-0.25 BSC-optimized irregular LDPC code instead is also plotted (with square markers) for comparison.

starting with BSC-optimized LDPC codes, which are available from Urbanke's website [29]. Fig. 3 shows the (R_k, R_l) -trajectory achieved by a rate-0.25 secret-sharing irregular LDPC code obtained by performing the above search with R_k set to 0.155 for the BPSK-constrained Gaussian wiretap channel when $P/\sigma^2 = -1.5$ dB and $\alpha^2 = 0$ dB. The degree distribution pair of this secret-sharing irregular LDPC code is shown in Table I. We obtain an instance of the irregular code by randomly generating a bipartite graph which satisfies the two given degree distribution constraints. Similar to the case of regular codes, the block length $n = 10^5$, and all length-4 loops are removed. Each shown (R_k, R_l) pair is obtained in the same manner as described in Section V-A by using (9). From Fig. 3, we observe that the pair $(R_k, R_l) = (0.155, 0.025)$ gives the lowest leakage rate achievable by this secret-sharing irregular LDPC code. For comparison, we also plot in Fig. 3 the (R_k, R_l) -trajectory achieved by the proposed secret-sharing scheme using a rate-0.25 BSC-optimized irregular LDPC code in place of the secret-sharing irregular LDPC code obtained from the code search described above. Note that since the channel from the (quantized) destination to the source is a BSC, the use of the BSC-optimized LDPC code is essentially the same as the reconciliation method proposed in [18]. For the BSC-optimized code, the pair $(R_k, R_l) = (0.2, 0.071)$ gives the lowest achievable leakage rate.

Similarly, Fig. 4 shows the secrecy performance of the proposed scheme when $P/\sigma^2 = -4.9$ dB and $\alpha^2 = 5$ dB. A rate-0.12 secret-sharing irregular LDPC code is obtained by fixing R_k to 0.06 in the code search. The degree distribution pair of this secret-sharing irregular LDPC code is also shown in Table I. We observe that the lowest leakage rate achieved by this code is given by the pair $(R_k, R_l) = (0.062, 0.019)$. Again, for comparison, the (R_k, R_l) -trajectory achieved by replacing the secret-sharing irregular LDPC code obtained from the code search with a rate-0.12 BSC-optimized irregular

TABLE I
DEGREE DISTRIBUTION PAIRS OF THE RATE-0.25 AND RATE-0.12 SECRET-SHARING IRREGULAR LDPC CODES OBTAINED FROM THE CODE SEARCH PROCESS DESCRIBED IN SECTION V-B.

	rate-0.25	rate-0.12
λ_2	0.2807	0.3651
λ_3	0.1490	0.1610
λ_4	0.0725	
λ_5		0.1081
λ_6		0.0540
λ_7	0.0599	
λ_8	0.1343	
λ_{11}		0.1123
λ_{12}		0.0057
λ_{21}	0.0697	
λ_{22}	0.0872	
λ_{28}		0.0650
λ_{29}		0.0403
λ_{70}	0.0006	
λ_{71}	0.0264	
λ_{72}	0.1197	
λ_{87}		0.0806
λ_{88}		0.0079
ρ_4		0.9705
ρ_5	0.4637	0.0295
ρ_6	0.5363	

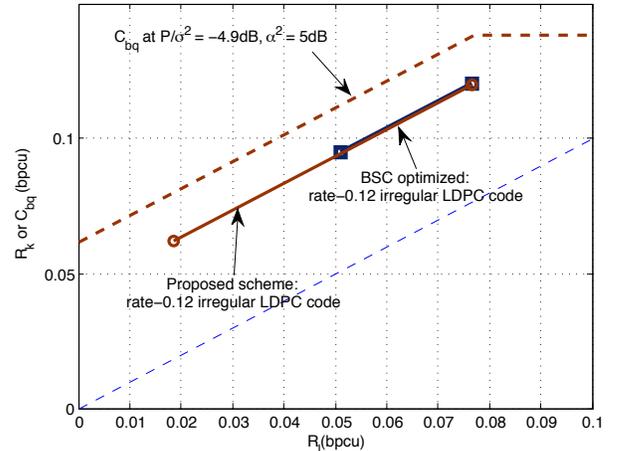


Fig. 4. Plot (with circle markers) of the (R_k, R_l) -trajectory achieved by the proposed secret-sharing scheme employing the rate-0.12 secret-sharing irregular LDPC code obtained from the code search process described in Section V-B. The block length is set to 10^5 . The channel parameter setting of $P/\sigma^2 = -4.9$ dB and $\alpha^2 = 5$ dB is assumed. The boundary of the (C_{bq}, R_l) region for this set of channel parameters is included in the figure. The (R_k, R_l) -trajectory achieved by the proposed secret-sharing scheme employing a standard rate-0.12 BSC-optimized irregular LDPC code instead is also plotted (with square markers) for comparison.

LDPC code is also shown in Fig. 4. For the BSC-optimized irregular LDPC code, the pair $(R_k, R_l) = (0.095, 0.052)$ gives the lowest achievable leakage rate. In conclusion, the secret-sharing irregular LDPC codes obtained from the proposed code search procedure significantly outperform, in terms of secrecy performance, secret-sharing regular LDPC codes with small node degrees as well as irregular LDPC codes that are optimized just for information reconciliation.

VI. CONCLUSIONS

In this paper, we developed schemes based on LDPC codes to allow a source and a destination to share secret information

over a BPSK-constrained Gaussian wiretap channel. In the proposed secret-sharing schemes, the source first sends a random BPSK symbol sequence to the destination through the Gaussian wiretap channel. Then, the destination generates a syndrome of its quantized received sequence using an LDPC code and sends this syndrome back to the source via the public channel. Finally, the source performs decoding to recover the quantized destination sequence based on its transmitted sequence, as well as the syndrome that it receives from the destination. The secret key is obtained as the index of a coset in a quotient space of the LDPC code.

To evaluate the performance of the proposed secret-sharing scheme, we employed an upper bound on the leakage information rate that depends on the decoding error probabilities of the decoder at the source and of a fictitious decoder at the wiretapper, which observes the wiretapper received sequence, the syndrome in the public channel, as well as the secret key. The design was then converted to making these error probabilities small. For a suitably chosen ensemble of regular LDPC codes, we showed that these error probabilities can indeed be made vanishing, as the block length increases, by ML decoding. As a result, this established that the key capacity of the BPSK-constrained Gaussian wiretap channel can be achieved by employing the secret-sharing regular LDPC code ensemble in the proposed scheme.

Considering the practical constraints of finite block length and using BP decoding instead of ML decoding, we employed a density-evolution based linear program to search for good irregular LDPC codes that can be used in the secret-sharing scheme. Simulation results showed that the secret-sharing irregular LDPC codes obtained from our search can get relatively close to the relaxed key capacity of the BPSK-constrained Gaussian wiretap channel, significantly outperforming regular LDPC codes as well as irregular LDPC codes that are optimized just for information reconciliation.

Finally, we point out that the arguments in the proof of Theorem 2 can be modified to show the existence of an LDPC code (from the same regular LDPC code ensemble considered in Section IV) that achieves the secrecy capacity [1], [3] of the Gaussian wiretap channel with the BPSK source-symbol constraint. A code search approach similar to the one described in Section V-B can also be employed to find irregular LDPC codes that give secrecy performance close to the boundary of the secrecy-equivocation rate region of that channel.

APPENDIX A SKETCH OF PROOF OF THEOREM 1

The proof of [21, Theorem 2.1], which corresponds to the case when $R_l = 0$, can be easily extended to accommodate Conditions 2 and 3 in the definition of achievable key-leakage rate pair.

First, consider the converse proof. Any permissible secret-sharing strategy that achieves the key-leakage rate pair (R, R_l) must satisfy (cf. [21, Eqn. (7)])

$$R < \frac{1}{1-\varepsilon} \left[\frac{1}{n} I(K; L) + \frac{1}{n} + \varepsilon^2 \right] + \varepsilon. \quad (10)$$

From Conditions 2, 3, and the chain rule, we have

$$\begin{aligned} \frac{1}{n} I(K; L) &\leq \frac{1}{n} I(K; L|Z^n, \Phi^t, \Psi^t) \\ &\quad + \frac{1}{n} I(K; Z^n | \Phi^t, \Psi^t) + \frac{1}{n} I(K; \Phi^t, \Psi^t) \\ &\leq \frac{1}{n} I(K; L|Z^n, \Phi^t, \Psi^t) + R_l + 2\varepsilon \\ &\leq \frac{1}{n} \sum_{j=1}^n I(X_j; Y_j | Z_j) + R_l + 2\varepsilon, \end{aligned}$$

where the last inequality is due to the bound $I(K; L|Z^n, \Phi^t, \Psi^t) \leq \sum_{j=1}^n I(X_j; Y_j | Z_j)$ which is shown in [11, pp. 1129–1130]. Similarly, using the chain rule and Condition 2, we also have

$$\begin{aligned} \frac{1}{n} I(K; L) &\leq \frac{1}{n} I(K; L | \Phi^t, \Psi^t) + \frac{1}{n} I(K; \Phi^t, \Psi^t) \\ &\leq \frac{1}{n} I(K; L | \Phi^t, \Psi^t) + \varepsilon \leq \frac{1}{n} \sum_{j=1}^n I(X_j; Y_j) + \varepsilon, \end{aligned}$$

where the last inequality is due to the bound $I(K; L | \Phi^t, \Psi^t) \leq \sum_{j=1}^n I(X_j; Y_j)$, which again can be shown by a simple modification to [11, pp. 1129–1130].

As in [21], let Q be a uniform random variable that takes value from $\{1, 2, \dots, n\}$ and is independent of all other random quantities. Define $(\hat{X}, \hat{Y}, \hat{Z}) = (X_j, Y_j, Z_j)$ if $Q = j$. Then $p_{\hat{Y}, \hat{Z} | \hat{X}}(\hat{y}, \hat{z} | \hat{x}) = p_{Y, Z | X}(y, z | x)$. Combining the two upper bounds on $\frac{1}{n} I(K; L)$ above, we have

$$\begin{aligned} \frac{1}{n} I(K; L) &\leq \min \left\{ I(\hat{X}; \hat{Y} | \hat{Z}, Q) + R_l, I(\hat{X}; \hat{Y} | Q) \right\} + 2\varepsilon \\ &\leq \min \left\{ I(\hat{X}; \hat{Y} | \hat{Z}) + R_l, I(\hat{X}; \hat{Y}) \right\} + 2\varepsilon. \quad (11) \end{aligned}$$

The power constraint (1) implies that $E[|\hat{X}|^2] \leq P$. Combining (10) and (11), we obtain

$$R < \frac{1}{1-\varepsilon} \left[\min \left\{ I(\hat{X}; \hat{Y} | \hat{Z}) + R_l, I(\hat{X}; \hat{Y}) \right\} + 2\varepsilon + \frac{1}{n} \right]. \quad (12)$$

Since ε can be arbitrarily small, (12) implies the converse result, i.e.,

$$\begin{aligned} R &\leq \min \left\{ I(\hat{X}; \hat{Y} | \hat{Z}) + R_l, I(\hat{X}; \hat{Y}) \right\} \\ &\leq \max_{X: E[|X|^2] \leq P} \min \{ I(X; Y | Z) + R_l, I(X; Y) \} \\ &= \max_{X: E[|X|^2] \leq P} \min \{ I(X; Y) - I(Y; Z) + R_l, I(X; Y) \}, \end{aligned}$$

where the last line is due to the fact that $p(y, z | x) = p(y | x)p(z | x)$.

The achievability proof based on random Wyner-Ziv coding in [21, Section 4] can be used to achieve the R_l -relaxed key capacity with proper modifications. Since the code construction statement in [21, Section 4] is rather long, we only point out here the steps that are different for the current case due to space limitation. The other details of the proof can be found in [21]. We also adopt the notation of [21] for easy reference.

First, fix the source distribution $p(x)$ that achieves the maximum in the R_l -relaxed key capacity expression. If $R_l < I(Y; Z)$, then modify the code construction in [21, Section 4] with the new definitions of $R_3 = I(X; \hat{Y}) - I(\hat{Y}; Z) + R_l - \varepsilon$

and $R_4 = I(\hat{Y}; Z) - R_l - 17\varepsilon$. Note the $p(\hat{y}|y)$ should be chosen to make these rates positive. The asymptotic negligibility of $\frac{1}{n}I(K; J)$ conditioned on the code \mathcal{C}_n used in [21, Section 4] is the only argument needed in this case that is not explicitly shown in [21, Section 4]. We assume below that the code \mathcal{C}_n is used. To establish that, first similar to (73) of [21], we have

$$I(K; J) \leq I(L; J) + 8n\varepsilon R_3 + 1. \quad (13)$$

by using an argument similar to that of (73) of [21]. Then for $j = 1, 2, \dots, 2^{nR_2}$ and $l = 1, 2, \dots, 2^{nR_3}$, we have

$$\begin{aligned} & \Pr\{J = j, L = l\} \\ &= \sum_{w=1}^{2^{nR_4}} \Pr\left\{M = j + (l-1)2^{nR_2} + (w-1)2^{n(R_2+R_3)}\right\} \\ &\leq \frac{2^{-n(R_2+R_3-7\varepsilon)}}{1-\varepsilon} < 2^{-n(R_2+R_3-8\varepsilon)} \end{aligned}$$

for sufficiently large n , where the first inequality is from [21, Part 3 of Lemma 6]. In other words, $H(J, L) > n(R_2 + R_3 - 8\varepsilon)$ for sufficiently large n . Hence, together with the facts $H(L) < nR_3$ and $H(J) < nR_2$, we have $I(L; J) = H(L) + H(J) - H(J, L) \leq nR_3 + nR_2 - n(R_2 + R_3 - 8\varepsilon) = 8n\varepsilon$. Putting this bound back to (13), we obtain $\frac{1}{n}I(K; J) \leq 8\varepsilon(R_3 + 1) + \frac{1}{n}$. Since ε can be chosen arbitrarily, we establish the achievability of the relaxed key capacity. On the other hand, if $R_l \geq I(Y; Z)$, the code construction described above can be trivially modified to achieve the relaxed key capacity by setting $R_4 = 0$ and R_3 arbitrarily close to $I(X; \hat{Y})$.

APPENDIX B PROOF OF LEMMA 1

As mentioned in the proof of Theorem 2, we adapt the proof of [25, Theorem 3] to prove this lemma. The main argument is to establish that there is a secret-sharing (d_v, d_c) -regular LDPC code ensemble $(\mathcal{C}, \mathcal{W})$ for which the ensemble average error probabilities $\bar{\varepsilon}_s$ and $\bar{\varepsilon}_w$ simultaneously vanish as n increases under the assumptions stated in the lemma.

To that end, we first examine the average weight spectra of the code \mathcal{C} and subspace \mathcal{W} in the LDPC code ensemble:

Lemma 2: Consider the ensemble of (n, l, k) secret-sharing code $(\mathcal{C}, \mathcal{W})$ described in Section IV. For $0 < m \leq n$, let \bar{S}_m and \bar{T}_m be the average numbers of codewords of Hamming weight m in \mathcal{C} and \mathcal{W} , respectively. Then, we have

$$\bar{S}_m = \binom{n}{m} \Pr(x^n \in \mathcal{C} | w(x^n) = m) \quad (14)$$

$$\bar{T}_m = \frac{2^{l-k} - 1}{2^l - 1} \cdot \bar{S}_m \leq 2^{-k} \bar{S}_m \quad (15)$$

where $w(x^n)$ is the Hamming weight of x^n .

Proof: Eqn. (14), given in [25], is obvious. It is also clear from the description of the code ensemble in Section IV that

$$\begin{aligned} \bar{T}_m &= \binom{n}{m} \Pr(x^n \in \mathcal{W} | x \in \mathcal{C}, w(x^n) = m) \\ &\quad \cdot \Pr(x^n \in \mathcal{C} | w(x^n) = m) \\ &= \bar{S}_m \cdot \Pr(x^n \in \mathcal{W} | x^n \in \mathcal{C}, w(x^n) = m). \quad (16) \end{aligned}$$

Consider any $x_0^n \neq 0^n \in \mathcal{C}$, $\Pr(x_0^n \in \mathcal{W} | x_0^n \in \mathcal{C})$ equals the ratio of the number of $(l-k)$ -dimensional subspaces in \mathcal{C} that contain x_0^n to the number of $(l-k)$ -dimensional subspaces in \mathcal{C} . The number of $(l-k)$ -dimensional subspaces in \mathcal{C} is $\prod_{u=1}^{l-k} \frac{2^{l-u+1} - 1}{2^{l-k-u+1} - 1}$ (see [30, Theorem 7.1]). Further, let $\mathcal{X}_0 = \{0^n, x_0^n\}$, and let $\mathcal{C}' = \mathcal{C}/\mathcal{X}_0$ be the quotient of \mathcal{C} by \mathcal{X}_0 . Then \mathcal{C}' is a $(l-1)$ -dimensional linear space. If \mathcal{W} is an $(l-k)$ -dimensional subspace in \mathcal{C} that contains x_0^n , then $\mathcal{W}' = \mathcal{W}/\mathcal{X}_0$ is an $(l-k-1)$ -dimensional subspace in \mathcal{C}' . On the other hand, suppose that \mathcal{W}' is an $(l-k-1)$ -dimensional subspace in \mathcal{C}' . Then $\mathcal{W} = \cup_{w^n + \mathcal{X}_0 \in \mathcal{W}'} w^n + \mathcal{X}_0$ is an $(l-k)$ -dimensional subspace in \mathcal{C} that contains x_0^n . It is also easy to see that the correspondence between \mathcal{W}' and \mathcal{W} above is one-to-one. As a result, the number of $(l-k)$ -dimensional subspaces in \mathcal{C} that contain x_0^n must be the same as the number of $(l-k-1)$ -dimensional subspaces in \mathcal{C}' , i.e., $\prod_{u=1}^{l-k-1} \frac{2^{l-u} - 1}{2^{l-k-u} - 1}$. So we have

$$\Pr(x_0^n \in \mathcal{W} | x_0^n \in \mathcal{C}) = \frac{2^{l-k} - 1}{2^l - 1}$$

for all $x_0^n \neq 0 \in \mathcal{C}$. This implies

$$\Pr(x^n \in \mathcal{W} | x^n \in \mathcal{C}, w(x^n) = m) = \frac{2^{l-k} - 1}{2^l - 1} \leq 2^{-k}$$

for $0 < m \leq n$. Putting this back into (16), we obtain (15). \blacksquare

For \mathcal{C} chosen uniformly from the (d_v, d_c) -regular LDPC code ensemble as described in Section IV, an upper bound on $\Pr(x^n \in \mathcal{C} | w(x^n) = m)$ is also available in [25, Lemma 2]:

- If md_v is odd, $\Pr(x^n \in \mathcal{C} | w(x^n) = m) = 0$.
- If md_v is even,

$$\begin{aligned} & \Pr(x^n \in \mathcal{C} | w(x^n) = m) \\ & \leq \begin{cases} \left(\frac{n-l}{\frac{md_v}{2}}\right) \left[\frac{md_v}{2(n-l)}\right]^{md_v} & \text{for } md_v \leq 2(n-l) \\ [(n-l)d_c + 1] \left[\frac{1+(1-\frac{2m}{n})^{d_c}}{2}\right]^{n-l} & \text{otherwise.} \end{cases} \end{aligned}$$

In addition, $\Pr(x^n \in \mathcal{C} | w(x^n) = m) = \Pr(x^n \in \mathcal{C} | w(x^n) = n-m)$ (and hence $\bar{S}_{n-m} = \bar{S}_m$) if d_c is even.

Next, we employ Lemma 2 and the combined union and Shulman-Feder bound in [25, Theorem 1] to bound $\bar{\varepsilon}_s$ and $\bar{\varepsilon}_w$. To bound $\bar{\varepsilon}_w$, consider the channel with \tilde{Y}^n as input and Z^n as output. First, note that \tilde{Y}^n contains i.i.d. equally likely binary elements. Hence, this channel is a memoryless BISO channel, and is specified by the conditional pdf $p_{Z|\tilde{Y}}(z|\tilde{y}) = p_{Z|X}(z|1)p_{X|\tilde{Y}}(1|\tilde{y}) + p_{Z|X}(z|-1)p_{X|\tilde{Y}}(-1|\tilde{y})$. Since $E_S^n + \tilde{X}_0^n + \mathcal{W}$ is a coset and the channel is memoryless BISO, it suffices to assume $\tilde{Y}^n = \tilde{X}_0^n \in \mathcal{W}$. In addition, note that all possible \tilde{X}_0^n sequences are equally likely. Now, let $\tilde{K} = \frac{6}{d_v} \ln \frac{d_v}{1-R_c}$ and $\tilde{\beta} = \frac{2(1-R_c)}{d_v} e^{-12-\tilde{K}}$. For any $\tilde{\beta} < \gamma < \frac{1}{2}$, applying the bound in [25, Theorem 1] to the subcode \mathcal{W} , the ensemble average decoding error probability of the ML

decoder at the wiretapper can be upper-bounded as

$$\bar{\epsilon}_w \leq \begin{cases} \tau_1 + \tau_2 + 2^{-nE_r^w(R_c - R_k + \frac{1}{n} \log_2 \alpha_w)} & \text{for odd } d_c \\ \sum_{i=1}^5 \tau_i + 2^{-nE_r^w(R_c - R_k + \frac{1}{n} \log_2 \alpha_w)} & \text{for even } d_c, \end{cases} \quad (17)$$

$$\text{where } \tau_1 = \sum_{m=1}^{\bar{\beta}n} \bar{T}_m D_w^m, \tau_2 = \sum_{m=\bar{\beta}n+1}^{\gamma n} \bar{T}_m D_w^m, \tau_3 = \sum_{m=n-\gamma n}^{n-\bar{\beta}n-1} \bar{T}_m D_w^m, \tau_4 = \sum_{m=n-\bar{\beta}n}^{n-1} \bar{T}_m D_w^m, \tau_5 = \bar{T}_n D_w^n, \\ D_w = \int \sqrt{p_{Z|\tilde{Y}}(z|1) \cdot p_{Z|\tilde{Y}}(z|-1)} dz,$$

$$\alpha_w = \begin{cases} \max_{m \in \{\gamma n+1, \dots, n\}} \frac{\bar{T}_m}{2^{l-k-1}} \cdot \frac{2^n}{\binom{n}{m}} & \text{for odd } d_c \\ \max_{m \in \{\gamma n+1, \dots, n-\gamma n-1\}} \frac{\bar{T}_m}{2^{l-k-1}} \cdot \frac{2^n}{\binom{n}{m}} & \text{for even } d_c, \end{cases}$$

and $E_r^w(R) = \max_q \max_{0 \leq \rho \leq 1} \{E_0^w(\rho, q) - \rho R\}$ is the random coding error exponent with

$$E_0^w(\rho, q) = -\log_2 \int \left[q(1)p_{Z|\tilde{Y}}(z|1)^{1/(1+\rho)} + q(-1)p_{Z|\tilde{Y}}(z|-1)^{1/(1+\rho)} \right]^{1+\rho} dz,$$

and q is the probability mass function (pmf) of the channel input \tilde{Y} . It is known that the optimal q is $q(1) = q(-1) = 0.5$.

Employing Lemma 2 and the bound on $\Pr(x^n \in \mathcal{C}|w(x^n) = m)$ that follows (see also [25, Lemma 2]), it is not hard to further bound the various terms in (17):

$$\tau_1 \leq \begin{cases} 2^{-nR_k} n^{1-d_v/2} (1-R_c)^{-d_v/2} \frac{D_w}{1-D_w} \frac{(d_v/2)^{d_v}}{(d_v/2)!} & \text{for even } d_v \\ 2^{-nR_k} n^{2-d_v} (1-R_c)^{-d_v} \frac{D_w^2}{2(1-D_w^2)} \frac{(d_v)^{2d_v}}{d_v!} & \text{for odd } d_v, \end{cases}$$

$$\frac{\log_2 \tau_2}{n} \leq \frac{1}{n} \{ \log_2 n + \log_2 [(n-k)d_c + 1] \} - R_k \\ + \max_{\beta \leq x \leq \gamma} \{ x \log_2 D_w + H_2(x) \} \\ + (1-R_c) (\log_2 [1 + (1-2x)^{d_c}] - 1),$$

and for even d_c ,

$$\tau_4 = \sum_{m=1}^{\bar{\beta}n} \bar{T}_m D_w^m D_w^{n-2m} \leq \tau_1 D_w^{n(1-2\bar{\beta})},$$

$$\frac{\log_2 \tau_3}{n} \leq \frac{\log_2 \tau_2}{n} + (1-2\gamma) \log_2 D_w,$$

and

$$\tau_6 \leq 2^{-nR_k} D_w^n = 2^{-n(R_k - \log_2 D_w)}.$$

Also,

$$\frac{\log_2 \alpha_w}{n} \leq \begin{cases} (1-R_c) \max_{\gamma \leq x \leq 1} \log_2 [1 + (1-2x)^{d_c}] \\ + \frac{1}{n} \{1 + \log_2 [(n-l)d_c + 1]\} & \text{for odd } d_c \\ (1-R_c) \max_{\gamma \leq x \leq 1-\gamma} \log_2 [1 + (1-2x)^{d_c}] \\ + \frac{1}{n} \{1 + \log_2 [(n-l)d_c + 1]\} & \text{for even } d_c \end{cases} \\ \leq (1-R_c) \log_2 [1 + (1-2\gamma)^{d_c}] \\ + \frac{1}{n} \{1 + \log_2 [(n-l)d_c + 1]\}.$$

For bounding $\bar{\epsilon}_s$, note that the channel with \tilde{Y}^n as input and X^n as output is a memoryless BSC and is specified by the conditional pmf $p_{X|\tilde{Y}}(x|\tilde{y}) = p_{\tilde{Y}|X}(\tilde{y}|x)$. Again, since $E_s^n + C$ is a coset and the channel is memoryless BISO, it suffices to assume $\tilde{Y}^n = X_0^n \in \mathcal{C}$. With this identification, the resulting bound on $\bar{\epsilon}_s$ follows the same line of arguments as above, and is essentially given in [25]. We summarize the bound below for later reference:

$$\bar{\epsilon}_s \leq \begin{cases} \sigma_1 + \sigma_2 + 2^{-nE_r^s(R_c + \frac{1}{n} \log_2 \alpha_s)} & \text{for odd } d_c \\ \sigma_1 + \sigma_2 + \sigma_3 + \sigma_4 + \sigma_5 + 2^{-nE_r^s(R_c + \frac{1}{n} \log_2 \alpha_s)} & \text{for even } d_c, \end{cases} \quad (18)$$

where

$$\sigma_1 \leq \begin{cases} n^{1-d_v/2} (1-R_c)^{-d_v/2} \frac{D_s}{1-D_s} \frac{(d_v/2)^{d_v}}{(d_v/2)!} & \text{for even } d_v \\ n^{2-d_v} (1-R_c)^{-d_v} \frac{D_s^2}{2(1-D_s^2)} \frac{(d_v)^{2d_v}}{d_v!} & \text{for odd } d_v, \end{cases}$$

$$\frac{\log_2 \sigma_2}{n} \leq \frac{1}{n} \{ \log_2 n + \log_2 [(n-l)d_c + 1] \} \\ + \max_{\beta \leq x \leq \gamma} \{ x \log_2 D_s + H_2(x) \} \\ + (1-R_c) (\log_2 [1 + (1-2x)^{d_c}] - 1),$$

and for even d_c ,

$$\sigma_4 = \sum_{m=1}^{\bar{\beta}n} \bar{T}_m D_s^m D_s^{n-2m} \leq \sigma_1 D_s^{n(1-2\bar{\beta})}, \\ \frac{\log_2 \sigma_3}{n} \leq \frac{\log_2 \sigma_2}{n} + (1-2\gamma) \log_2 D_s, \\ \sigma_5 \leq D_s^n = 2^{n \log_2 D_s},$$

and

$$\frac{\log_2 \alpha_s}{n} \leq \frac{1}{n} \{1 + \log_2 [(n-l)d_c + 1]\} \\ + (1-R_c) \log_2 [1 + (1-2\gamma)^{d_c}],$$

with $D_s = 2\sqrt{p_{X|\tilde{Y}}(1|1) \cdot p_{X|\tilde{Y}}(1|-1)}$, and $E_r^s(R) = \max_q \max_{0 \leq \rho \leq 1} \{E_0^s(\rho, q) - \rho R\}$ is the random coding error exponent of the channel of interest based on

$$E_0^s(\rho, q) = -\log_2 \left\{ [q(1)p_{X|\tilde{Y}}(1|1)]^{1/(1+\rho)} + q(-1)p_{X|\tilde{Y}}(1|-1)^{1/(1+\rho)} \right]^{1+\rho} \\ + [q(1)p_{X|\tilde{Y}}(-1|1)]^{1/(1+\rho)} + q(-1)p_{X|\tilde{Y}}(-1|-1)^{1/(1+\rho)} \right]^{1+\rho}.$$

Recall that $R_c < C_s(\tilde{\beta})$ and $R_c - R_k < C_w(\tilde{\beta})$. Choose $\varepsilon > 0$ small enough such that $R_c + 2\varepsilon < C_s(\tilde{\beta})$ and $R_c - R_k + 2\varepsilon < C_w(\tilde{\beta})$. For any $0 < \gamma < 0.5$, there exist large enough d_v and d_c such that

- 1) $\frac{d_v}{d_c} = 1 - R_c$,
- 2) $0 < \tilde{\beta} < \gamma$,
- 3) $\tilde{K} < \varepsilon$, and
- 4) $\log_2 [1 + (1-2\gamma)^{d_c}] < \varepsilon$.

With this choice of (d_v, d_c) , we have

$$\begin{aligned} & \max_{\bar{\beta} \leq x \leq \gamma} \{H_2(x) + (1 - R_c) (\log_2[1 + (1 - 2x)^{d_c}] - 1)\} \\ & \leq H_2(\gamma) + (1 - R_c) \{ \log_2[1 + (1 - 2\bar{\beta})^{d_c}] - 1 \} \\ & \leq H_2(\gamma) + (1 - R_c) \left[\log_2 \left(1 + e^{-2d_c \bar{\beta}} \right) - 1 \right] \\ & \leq H_2(\gamma) + (1 - R_c) \left[\log_2 \left(1 + e^{-4e^{-12-\epsilon}} \right) - 1 \right] \end{aligned}$$

for any $0 < \gamma < 0.5$, where the second inequality follows from the inequality $1 - 2x < e^{-2x}$ and the last inequality follows from the definition of $\bar{\beta}$. Hence, we can make

$$\max_{\bar{\beta} \leq x \leq \gamma} \{H_2(x) + (1 - R_c) (\log_2[1 + (1 - 2x)^{d_c}] - 1)\} < 0$$

by choosing γ small enough since $C_s(\bar{\beta}) \leq 1$. Thus for sufficiently large n , we get the following results,

- 1) $\frac{1}{n} \log_2 \tau_2 < 0$ and $\frac{1}{n} \log_2 \tau_3 < 0$,
- 2) $\frac{1}{n} \log_2 \sigma_2 < 0$ and $\frac{1}{n} \log_2 \sigma_3 < 0$,
- 3) $R_c - R_k + \frac{1}{n} \log_2 \alpha_w \leq R_c - R_k + (1 - R_c)\epsilon + \epsilon < C_w(\bar{\beta})$,
and
- 4) $R_c + \frac{1}{n} \log_2 \alpha_s \leq R_c + (1 - R_c)\epsilon + \epsilon < C_s(\bar{\beta})$.

Further, by employing the well known fact that the random coding exponent is positive if its rate argument is below channel capacity, we obtain the stated asymptotic behaviors of $\bar{\epsilon}_s$ and $\bar{\epsilon}_w$.

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